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Atty. Dkt. No. 062834-0104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George Harry Hoffman, et al.

Title: SYSTEM, METHOD AND

COMPUTER PROGRAM PRODUCT FOR USE OF BAR CODES IN A SUPPLY CHAIN MANAGEMENT FRAMEWORK

Appl. No.: 09/815,580

Filing 03/23/2001

Date:

Examiner: Ahshik Kim

Art Unit: 2876

TRANSMITTAL OF APPELLANT'S BRIEF AND INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Transmitted herewith are:

- [X] Appellant's Brief Under 37 C.F.R. § 1.192, and Appendix, in triplicate
- [X] Information Disclosure Statement, Form PTO/SB/08 and 3 references
- X] Check for \$500, being fee for filing an Appeal Brief (\$320) and Submission of an Information Disclosure Statement (\$180)

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated,

otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date February 12, 2003

FOLEY & LARDNER

Customer Number: 22428

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PATENT TRADEMARK OFFICE

Telephone: (202) 672-5485 Facsimile: (202) 672-5399 William T. Ellis

Attorney for Applicant Registration No. 26,874



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

George Harry Hoffman, et al.

Title:

SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR USE OF BAR CODES IN A SUPPLY CHAIN MANAGEMENT FRAMEWORK

Appl. No.:

09/815,580

Filing

03/23/2001

Date:

Examiner:

Ahshik Kim

Art Unit:

2876

UNDER 37 CFR § 1.56

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

02/13/2003 BABRAHA1 00000056 09815580

02 FC:1806

180.00 OP

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(d), before payment of the issue fee.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

STATEMENT

The undersigned hereby states in accordance with 37 CFR §1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the information disclosure statement.

FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(d) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be

enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date February 12, 2003

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PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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> INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: February 11, 2003

(use as many sheets as necessary) Sheet 1 of

Complete if Known				
Application Number	09/815,580			
Filing Date	03/23/2001			
First Named Inventor	George Harry Hoffman			
Group Art Unit	2876			
Examiner Name	Ahshik Kim			
Attorney Docket Number	062834-0104			

U.S. PATENT DOCUMENTS				
n of Pages, Columns, Lines, Where Relevant				
Passages or Relevant Figures Appear				

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Fore Office ³	eign Patent E Number ⁴	Ocument Kind Code (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т ⁶
								
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶	

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Standard ST.3 is a proportion of the year of the reign of the Emperor must precede the serial number of the patent document. Standard ST.3 is if possible. Applicant is to place a check mark here if English language Translation is attached.